

## August 5, 2023

TO: IAS – VALIDATION AND VERIFICATION BODIES (VVBs) AND OTHER INTERESTED PARTIES.

SUBJECT: Proposed Revisions to the Accreditation Criteria for Validation and Verification Bodies (VVBs), AC800-202310-R0 (MS/MN)

## **Hearing Information:**

IAS Accreditation Committee Wednesday, October 4, 2023 8:30 am (Pacific Time Zone) WebEx Meeting – Refer to IAS website for details.

## Dear Madam or Sir:

Proposed Revisions to the Accreditation Criteria for Validation and Verification Bodies (VVBs), (AC800) has been placed on the agenda for committee consideration at the above-noted meeting.

## Proposed changes include:

- 1. Provided editorial revisions through out the document.
- 2. Line No. 42, 44, 45, 47 and 55: Added the Edition Year.
- 3. Line No. 50: Added MD25 to the list of reference documents.
- 4. Line No. 80 to 82: Revise the minimum required project to cover each type of validation/verification and sector.
- 5. Line No. 85 to 86: Add additional requirements to ISO/IEC 17029 which include ISO 14065 and scheme requirements.
- 6. Line No. 95 to 99: Add additional requirements for regulatory and voluntary program requirements and schemes.
- 7. Line 103 to 105: Added ISO 14065 and other program schemes.
- 8. Line No. 117: Added additional required information for relevant regulatory and voluntary requirements.
- 9. Line No. 134: Changed "certified client's" to "Validated/Verified client's".

You are cordially invited to submit written comments, or to attend the WebEx committee hearing and present verbal comments. Written comments will be forwarded to the committee, **prior to the hearing**, if received by September 4, 2023. For your

convenience, a comment form is provided. The link can be found on the Accreditation Committee meeting page on the IAS website, www.iasonline.org. Comments must be emailed to <a href="mailto:iasinfo@iasonline.org">iasinfo@iasonline.org</a>.

Parties interested in proposed revised criteria may deliver written communications and submissions regarding such proposed criteria to IAS within approximately 30 days of posting of the public notice on the IAS website. The committee shall be informed of all pertinent written communications received by IAS. Any relevant communication and changes to a criteria arising from the written communication/submission shall be posted to the IAS website prior to the meeting.

Participants at the accreditation committee meetings shall have the opportunity to speak on the proposed criteria to provide information to the committee. Committee meetings are generally held by electronic means. Participants are responsible to ensure access to appropriate computer equipment, software, and internet connectivity to ensure effective participation during the meeting.

Your cooperation is requested in forwarding to IAS, as noted above, all material directed to the committee. Prior to the hearing, parties interested in the deliberations of the committee should refrain from communicating, whether in writing or verbally, with committee members regarding agenda items. The committee reserves the right to refuse communications that do not comply with this request.

If you have any questions, please contact IAS at 562-364-8201. You may also reach us by e-mail at <a href="mailto:iasinfo@iasonline.org">iasonline.org</a>.

Yours very truly,

Rej Lather

Raj Nathan President

Enclosures: Proposed Revised AC800

cc: Accreditation Committee



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2	PROPOSED REVISIONS TO THE ACCREDITATION CRITERIA FOR VALIDATION AND
3	VERIFICATION BODIES (VVBs)
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5	AC800
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8	Proposed October 4, 2023
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11	PREFACE
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13 14 15 16 17	The attached accreditation criteria have been proposed to provide all interested parties with an opportunity to comment. These criteria may be further revised as needed. The criteria are developed and adopted following public hearings conducted by the International Accreditation Service, Inc. (IAS), Accreditation Committee and are effective on the first of the month following approval by the Accreditation Committee, but no earlier than 30 days following the approval.

18	PROPOSED REVISIONS TO THE ACCREDITATION CRITERIA FOR VALIDATION AND
19	VERIFICATION BODIES (VVBs)
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21	1. INTRODUCTION
22	1.1. <b>Scope</b> : These criteria set forth the requirements for obtaining and maintaining International
23	Accreditation Service, Inc. (IAS), Validation and Verification Bodies (VVBs) accreditation. The
24	criteria supplement the IAS Rules of Procedure for Validation and Verification Bodies.
25	
26	1.2. Normative and Reference Documents: Publications listed below refer to current editions
27	(unless otherwise stated).
28	1.2.1. ISO/IEC 17029:2019, Conformity assessment — General principles and requirements for
29	validation and verification bodies;
30	1.2.2. ISO 14065:2020, General principles and requirements for bodies validating and verifying
31	environmental information;
32	1.2.3. ISO 14066:2011, Greenhouse gases — Competence requirements for greenhouse gas
33	validation teams and verification teams.
34	1.2.4.ISO 14064-1:2018, Greenhouse gases — Part 1: Specification with guidance at the
35	organization level for quantification and reporting of greenhouse gas emissions and
36	removals.
37	1.2.5.ISO 14064-2:2019, Greenhouse gases — Part 2: Specification with guidance at the project
38	level for quantification, monitoring and reporting of greenhouse gas emission reductions or
39	removal enhancements
40	1.2.6. ISO 14064-3:2019, Greenhouse gases — Part 3: Specification with guidance for the
41	verification and validation of greenhouse gas statements.
42	1.2.7.IAF MD 4:20232018 IAF Mandatory Document for the Use of Information and
43	Communication Technology (ICT) for Auditing/Assessment Purposes
44	1.2.8.IAF MD 6: <u>2023</u> 2014 Application of ISO 14065:2013
45	1.2.9.IAF MD 12:20232016 Accreditation Assessment of Conformity Assessment Bodies with
46	Activities in Multiple Countries
47	1.2.10. IAF MD14: 20232014 Application of ISO/IEC 17011 in Greenhouse Gas Validation and
48	Verification (ISO 14065:2013)
49	1.2.11. IAF MD 20:2016 Generic Competence for AB Assessors: Application to ISO/IEC 17011
50	1.2.11.1.2.12. IAF MD25:2023 Criteria for Evaluation of Conformity Assessment Schemes
51	4.2.12.13. IAF Resolutions related to accreditation bodies and transitions as available on
52	the IAF website.
53	4.2.13.1.2.14. IAF ID 3:2011 Informative Document for Management of Extraordinary Events or
54	Circumstances Affecting ABs, CABs and Certified Organizations

55		4	<del>.2.14.</del> 1.	2.15. ISO/IEC Standard 17000:2020, Conformity assessment – Vocabulary and				
56	general principles.							
57								
58	2.	DEFI	NITIONS					
59		2.1.	Applica	able definitions found in ISO/IEC <del>Standard</del> 17000 series apply.				
60		2.2.	APAC:	Asia Pacific Accreditation Cooperation				
61		2.3.	CAB:	Conformity Assessment Body				
62		2.4.	GHG:	Greenhouse Gases				
63		2.5.	IAF: In	nternational Accreditation Forum				
64		2.6.	Remot	e Assessments: Accreditation Body's assessment of the physical location or virtual site				
65			of a CA	AB office or CAB's client, using electronic means				
66		2.7.	VVB: \	Validation and Verification Body applicant or accredited by IAS				
67		2.8.	Validat	tion: Confirmation of a claim, through the provision of objective evidence, that the				
68			require	ments for a specific intended future use or application have been fulfilled.				
69		2.9.	Verific	ation: Confirmation of a claim, through the provision of objective evidence, that				
70			specifie	ed requirements have been fulfilled.				
71		2.10.	Virtual	site: An online environment allowing persons to execute processes, e.g., in a cloud				
72			environ	nment.				
73		2.11.	Witnes	ss Assessments: Validation/Verification activities/audits that are observed by an IAS				
74			assess	or				
75								
76	3.	ELIG	IBILITY					
77		3.1.	Accred	itation services are available to all validation and verification bodies that meet the				
78			followin	ng requirements:				
79			3.1.1.	Have at least a minimum of one certified project completed in the requested scope of				
80				accreditation. Have at least a minimum of one completed validation/verification				
81				engagement for each type of validation / verification program and sector group in the				
82				requested scope of accreditation.				
83			3.1.2.	Have completed at least one Internal Audit and one Management Review.				
84			3.1.3.	Have a complete and demonstrable management system based on the requirements of				
85				ISO/IEC 17029:2019. and other relevant additional requirements. (e.g for GHG				
86				validation/verification ISO 14065:2020, and applicable program / scheme requirements)				
87			3.1.4.	Demonstrate compliance with all applicable IAF Mandatory Documents (MD).				
88			3.1.5.	Have competent validation/verification teams in the requested scope of accreditation.				
89			3.1.6.	All office and witness assessments are conducted onsite unless agreed by IAS for				
90				remote or virtual assessments or mandated by IAF under special circumstances.				
91		3.2.	All doc	uments submitted to IAS must be in English.				

92		3.3.	The VVB or any part of the same legal entity or any entity under the organizational control of				
93		0.4	the VVB cannot offer or provide consultancy.				
94			_The IAS Accreditation cycle for VVBs is 4 years (see Annex A).				
95		<u>3.5.</u>	The VVB shall identify relevant regulatory and/or voluntary program requirements applicable				
96			its validation/verification operations, and comply with such regulatory and/or voluntary progra	<u>ım</u>			
97			requirements as applicable.				
98		3.4. <u>3</u>					
99			should be validated in accordance with IAF MD25, as acceptable.				
100 101	4.	REQ	UIRED BASIC INFORMATION				
102		Appli	icant VVBs must demonstrate compliance with the following requirements:				
103		4.1	ISO/IEC Standard 17029 and level 4 standards, and other relevant additional requirements.				
104			(e.g for GHG validation/verification ISO 14065:2020, and applicable program / scheme				
105			requirements) as applicable.				
106		4.2	All relevant IAF Mandatory Documents (MD). <a href="https://iaf.nu/en/iaf-documents/?cat_id=7">https://iaf.nu/en/iaf-documents/?cat_id=7</a>				
107			http://www.iaf.nu/articles/Mandatory_Documents_/38				
108		4.3	IAF relevant Resolutions related to accreditation bodies and transitions as available on the IA	۱F			
109			website.				
110		4.4	All relevant IAF Informative Documents (ID).				
111			(http://www.iaf.nu/articles/Informative_Documents_/32 https://iaf.nu/en/iaf-				
112			documents/?cat_id=10)				
113		4.5	ISO/IEC Standard 17000, Conformity assessment – Vocabulary and general principles.				
114		4.6	Relevant IAS policy documents.				
115		4.7	IAS Policy on the Restricted Use of IAS Endorsement by Accredited Organizations.				
116		4.8	_IAS Policy on the use of the ILAC/IAF/IAS Combined Marks.				
117		4.8 <u>4.</u>	9 All relevant regulatory and/or voluntary program requirements, as applicable.				
118							
119	5	ADD	ITIONAL INFORMATION (AS APPLICABLE)				
120		5.1	All requested scopes shall be in the format used in the IAS VVB application form.				
121			5.1.1 Applicant VVBs shall undergo onsite office and witness assessments, as described	n			
122			IAS Rules of Procedure for VVBs and Section 3.4 of this criteria document.				
123			5.1.2 Identification of the program for the particular validation/verification that needs to be				
124			operated.				
125			5.1.3 Program description shall specify definitions, principles, rules, processes and				
126			requirements for validation/verification process steps, as well as for the competence	of			
127			validators/verifiers for a specific sector.				

128			5.1.4	Programs can be legal frameworks, international, regional or national standards, global
129				initiatives, sector applications as well as individual agreements with clients of the
130				validation/verification body.
131			5.1.5	Information and disclosure regarding current or past accreditation status or sanctions
132				brought against the VVB shall be requested during the application :
133			5.1.6	Formal application is through the <a href="mailto:iasinfo@iasonline.org">iasinfo@iasonline.org</a>
134			5.1.7	IAS will only accept certified Validated/Verified client's project reports provided directly
135				by the VVBs.
136		5.2	Accred	lited VVBs – Transitions to IAS requirements, international standards or IAF MDs: The
137			followi	ng are required when transitioning:
138			5.2.1	A transition plan to be provided by the MSCB VVB to IAS
139			5.2.2	Qualified and experienced_Compenent personnel of VVB
140			5.2.3	Audit records if any, relating to the transition or a witness assessment as needed
141				
142	6	LINE	(S TO A	DDITIONAL REFERENCES
143		6.1	Interna	ational Accreditation Forum (IAF) – <u>www.iaf.nu</u>
144		6.2	Interna	ational Organization for Standardization (ISO) – www.iso.org
145		6.3	Asia P	acific Accreditation Cooperation (APAC) - https://www.apac-accreditation.org/
146				
147	The	ese crite	eria were p	previously issued December 2021

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Annex A
149
IAS ACCREDITATION CYCLE
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Initial Assessment
Reassessment
Surveillance 3
Surveillance 1

Surveillance 2

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152 1st Cycle

Example of	Initial Accreditation	Surveillance 1	Surveillance 2	Surveillance 3
VVB	Decision date to next	Assessment Date to	Assessment date to	assessment date to
Assessment	Surveillance	Surveillance 2	Surveillance 3	Reassessment 1 date
Schedule	Assessment 1 date (12 months apart)	Assessment Date (12 months apart)	Assessment date (12 months apart)	(12 months apart)
				June 1, 2024 to June 1,
		June 1, 2022 to June 1,	June 1, 2023 to June 1,	2025
	June 1, 2021 to June 1,	2023	2024	
	2022			

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2<sup>nd</sup> Cycle

Example of	Reassessment 1 date	Surveillance 1	Surveillance 2	Surveillance 3
VVB .	to next Surveillance	Assessment Date to	Assessment date to	assessment date to
Assessment	Assessment 1 date	Surveillance 2	Surveillance 3	Reassessment 2 date
Schedule	(12 months apart)	Assessment Date (12 months apart)	Assessment date (12 months apart)	(12 months apart)
		1	. ,	June 1, 2028 to June 1,
	June 1, 2025 to June 1,	June 1, 2026 to June 1,	June 1, 2027 to June 1,	2029
	2026	2027	2028	

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